



INVESTOR QUESTIONNAIRE

This questionnaire must be completed prior to offering documents being delivered. We are required by the Patriot Act and by the Securities and Exchange Commission (SEC) to “know our customer” in regards to financial condition, sophistication, and citizenship. Your privacy is very important to us. This information is not shared with any third party.

SECTION 1: GENERAL INFORMATION

Name: _____ Phone: _____

Address: _____ Fax: _____

_____ Mobile: _____

Email: _____ Tax ID: _____

SECTION 2: INVESTMENT BACKGROUND & INVESTOR OBJECTIVES

Approximate number of years Subscriber has been investing: _____

Estimated Current Net Worth: \$ _____

Estimated Portfolio Value: \$ _____

Anticipated Investment Amount: \$ _____

	OFTEN	OCCASIONALLY	SELDOM	NEVER
Real estate, other than personal residence (directly or through partnerships or other managed entities)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Marketable securities (stocks, bonds, notes, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Speculative or venture capital investments (including private investment funds)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please rank the order of investment objectives of Subscriber. Number preferences from 1 (most preferred) to 4 (least preferred). Please note, this investment is most appropriate for persons seeking current income and tax advantages.

Capital Appreciation: _____ Current Income: _____ Tax Deduction: _____ Liquidity: _____

SECTION 3: ACCREDITED INVESTOR STATUS

The Investor represents and warrants that the Investor is an “Accredited Investor” within the meaning of Rule 501 of Regulation D of the Securities Act, and has checked the box or boxes below which are next to the categories under which the investor qualifies as an Accredited Investor.

- A natural person with individual net worth (or joint net worth with spouse) in excess of \$1 million. For purposes of this item, “net worth” means excess of total assets at fair market value over total liabilities.
- A natural person with individual net worth (or joint net worth with spouse) in excess of \$1 million. For purposes of this item, “net worth” means excess of total assets at fair market value over total liabilities.
- An entity, including a grantor trust, in which all of the equity owners are accredited investors (for this purpose, a beneficiary of a trust is not an equity owner, but the grantor of a grantor trust may be).



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SECTION 4: NON-ACCREDITED INVESTOR STATUS

The Investor represents and warrants that it is not an accredited investor within the meaning of Rule 501 of Regulation D under the Securities Act and has sufficient knowledge and experience in financial and business matters to render them capable of evaluating the merits and risks of the prospective investment.

YES

SECTION 5: SOURCE OF FUNDS FOR INVESTMENT

In the event that the investor makes an investment into the Partnership, what is the source of funds?

Sale of other investment Savings
 Line of Credit: Other: _____

SECTION 6: SUPPLEMENTAL DATA FOR ENTITIES

If the Investor is an entity, furnish the following supplemental data. Individuals who are natural persons (investing in their own name) may skip this section of the Questionnaire.

Corporation Partnership LLC Trust Limited Partnership

Jurisdiction of Organization (State or Foreign Country): _____

Was the entity organized for the specific purpose of acquiring interests? ___ YES ___ NO

SECTION 7: INDIVIDUAL RETIREMENT ACCOUNT

Please indicate whether or not the Investor is, or is acting on behalf of, (i) an employee benefit plan within the meaning of Section 3(3) of ERISA, whether or not such plan is subject to ERISA, or (ii) an entity which is deemed to hold the assets of any such employee benefit plan pursuant to 29 C.F.R. S2510.3-101. For purposes of determining whether this 25% threshold has been met or exceeded, the value of any equity interests held by a person (other than such a plan or entity) who has discretionary authority control with respect to the assets of the entity, or any person who provides investment advice for a fee (direct or indirect) with respect to such assets, or an affiliate of such a person, is disregarded.

YES If “Yes”, please provide contact information for your custodian.

Custodian: _____ Contact: _____

Account #: _____ Self-Directed? ___ YES ___ NO

PLEASE NOTE:

Filling out this Investor Questionnaire does not constitute an offering of securities or an investment in the partnership. Once the questionnaire is received by the manager, the Investor/Subscriber’s answers will be reviewed to determine whether an offering is to be made.

Please complete the Questionnaire as accurately and completely as possible.
When complete please email to CFISK@FISKFINANCIAL.COM or fax to 866-363-8684